

PTO/SB/21 (08-03)

Approved for use through 03/30/2003. OMB 0651-0031

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|   |                      |                        |  |
|---|----------------------|------------------------|--|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 10/629,501             | <b>RECEIVED</b><br><b>CENTRAL FAX CENTER</b><br><b>DEC 13 2004</b> |
|   | Filing Date          | July 29, 2003          |  |
|   | First Named Inventor | H. Halling             |  |
|   | Art Unit             | 3676                   |  |
|   | Examiner Name        | Vishal Patel           |  |
| Total Number of Pages in This Submission  | 7                    | Attorney Docket Number | 03-150   |

| ENCLOSURES (Check all that apply)   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Statement Under 37 CFR 3.73(b)<br>Assignment and Recordation |
| Remarks<br>Assignment and Notice of Recordation<br>Statement Under 37 CFR 3.73(b)<br>Power of Attorney  |   |   |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |   |   |
| Firm or Individual name   | Raymond A. Nuzzo, Law Offices of Raymond A. Nuzzo, LLC  |   |
| Signature   | <i>Raymond A. Nuzzo</i>   |   |
| Date  | December 13, 2004   |   |

| CERTIFICATE OF TRANSMISSION/MAILING   |                         |                 |
|---|-------------------------|-----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                         |                 |
| 703-872-9306  |                         |                 |
| Typed or printed name   | Raymond A. Nuzzo        |                 |
| Signature   | <i>Raymond A. Nuzzo</i> | Date 12/13/2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Horace P. Halling      Group Art Unit: 3676  
Serial No: 10/629,501      Examiner: Vishal Patel  
Filed: July 29, 2003      Fax Number: 703-872-9306  
Title of Invention: Metallic Seal  
Attorney Docket No. 03-150

RESPONSE TO NOTICE REGARDING POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice Regarding Power of Attorney mailed November 18, 2004, Applicant is submitting herewith copies of the following documents:

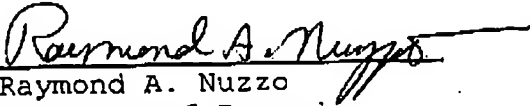
- 1) An assignment of all rights to American Seal And Engineering Company, Inc. The assignment was executed by the sole inventor, Horace P. Halling.
- 2) A Notice of Recordation of Assignment.
- 3) A Statement Under 37 CFR 3.73(b) executed by Mr. John Pritchard, the President of American Seal And Engineering Company, Inc.
- 4) A new Power of Attorney executed by Mr. John Pritchard.

Please contact the undersigned if there are any questions concerning this response and the attached documents.

Respectfully submitted,

Date: December 13, 2004

Law Offices of Raymond A. Nuzzo, LLC  
579 Thompson Avenue  
East Haven, CT 06512  
203-467-7895

  
Raymond A. Nuzzo  
Attorney of Record  
Reg. No. 37199

DEC-06-2004 12:36

AMERICAN SEAL

203 848 1627

SOLE/JOINT ASSIGNMENT

For good and valuable consideration, I, as a below-named Assignor, hereby sell, assign, and transfer the entire right, title, and interest in the following to American Seal And Engineering Company, Inc., a Connecticut corporation having a place of business at 295 Indian River Road, Orange, CT 06477, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee"):

(1) my/our invention which is entitled METALLIC SEAL  
which is described in the U.S. patent application  
☐ executed concurrently herewith,  
☐ executed on \_\_\_\_\_  
☒ filed in the United States Patent and Trademark Office  
on JULY 29, 2003 as application number 10/629501.

(2) the foregoing application and all other U.S. and foreign patent applications based thereon, including divisions, continuations, reissues, and extensions, and

(3) all patents granted on these applications.

I will provide my cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecutorial actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee. I authorize and request that these patents be issued to the Assignee.

I certify that I have the full right to convey the above rights.

12/3/2004 Horace P. Halling Assignor  
Date Signature

STATE OF CONNECTICUT

COUNTY OF Middlesex} ss: Shirley K. Ham

On this 3rd day of December, 2004, before me appeared Horace P. Halling, to me known and known to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

(SEAL)



Notary Public

My Commission Exp. Oct. 31, 2007

RightFax

12/9/04 6:40 PAGE 002/005 Fax Server



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*700136212\*

DECEMBER 09, 2004

PTAS

LAW OFFICES OF RAYMOND A. NUZZO, LLC  
RAYMOND A. NUZZO  
579 THOMPSON AVENUE  
EAST HAVEN, CT 06512

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/06/2004

REEL/FRAME: 015429/0267  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

HALLING, HORACE P.

DOC DATE: 12/03/2004

## ASSIGNEE:

AMERICAN SEAL AND ENGINEERING  
COMPANY, INC.  
295 INDIAN RIVER ROAD  
ORANGE, CONNECTICUT 06477

SERIAL NUMBER: 10629501

FILING DATE: 07/29/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METALLIC SEAL

DEC-07-2004 14:44

AMERICAN SEAL

203 848 1627

P.01/01

PTO/BB/95 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Applicant: Hervee P. HalingApplication No./Patent No.: 10/629501Filed/Issue Date: July 29, 2003Entitled: Metallic SealAmerican Seal And Engineering Company, Inc.a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015429, Frame 0267, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

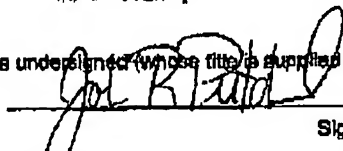
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

This undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

John Pritchard

Printed or Typed Name

12/7/04  
Date
203-789-8819

Telephone Number

President, American Seal And Engineering Company, Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (09-04)

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number **10/829501**  
 Filing Date **JULY 29, 2003**  
 First Named Inventor **HALLING**  
 Art Unit **3676**  
 Examiner Name **VISHAL PATEL**  
 Attorney Docket Number **03-150**

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I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

25306

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

25306

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

JOHN PRITCHARD, President, American Seal And Engineering Company, Inc.

Date

12/6/04

Telephone

203-789-8818

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.86. The information is required to obtain or retain a benefit by the purpose which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on this amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-8188 and select option 2.

TOTAL P.01